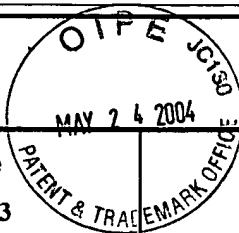


05-25-04

IFW

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))Docket No.
07200/034001In Re Application Of: **Yasuto KARASAWA**Serial No.
10/606,328Filing Date
06/25/2003Examiner
UnknownGroup Art Unit
1765**Title: METHOD FOR PREVENTING PARTICLE-BASED CONTAMINATION OF SUBSTRATES AND
STRUCTURE THEREFOR**

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);**OR**☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
07200/034001

In Re Application: **Yasuto KARASAWA**

Serial No.
10/606,328

Filing Date
06/25/2003

Examiner
Unknown

Group Art Unit
1765

**METHOD FOR PREVENTING PARTICLE-BASED CONTAMINATION OF SUBSTRATES AND
STRUCTURE THEREFOR**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **50-0591**
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OSHA & MAY L.L.P.
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Houston, Texas 77010
Telephone: 713/228-8600
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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Yasuto KARASAWA

Docket No.

07200/034001

Serial No.

10/606,328

Filing Date

06/25/2003 MAY 24 2004

Examiner

Unknown

Group Art Unit

1765

Invention: METHOD FOR PREVENTING PARTICLE-BASED CONTAMINATION OF SUBSTRATES AND STRUCTURE THEREFOR

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INFORMATION DISCLOSURE CITATION

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Docket Number (Optional)
07200/034001

Application Number
10/606,328

Applicant(s)
Yasuto KARASAWA

Filing Date
06/25/2003

Group Art Unit
1765

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | REF R | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|----------|-----------------|------|------|-------|----------|-------------------------------|
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FOREIGN PATENT DOCUMENTS

| | REF | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | Translation | |
|--|-----|-----------------|------------|---------|-------|----------|-------------|----|
| | | | | | | | YES | NO |
| | A1 | 2001-338853 | 12/07/2001 | JAPAN | H01L | 21/02 | | ✓ |
| | A2 | H06-44135 | 06/10/1994 | JAPAN | H01L | 21/02 | | ✓ |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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| | A3 | Office Action dated February 23, 2004 for Patent Application Serial No. 394684/2001 (3 pgs.) |
| | A4 | Patent Abstracts of Japan, Publication NO. 2001-338853, dated 12/07/2001 (2 pgs.) |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

